

2016 Provider Network Development Plan

Complete and submit in Word format (do not PDF) to performance.contracts@dshs.state.tx.us no later than March 1, 2016.

All LMHAs must complete Part I, which includes a baseline data about services and contracts and documentation of the LMHA's assessment of provider availability, and Part III, which documents PNAC involvement and public comment.

Only LMHAs with interested providers are required to complete Part II, which includes procurement plans.

When completing the template:

- ◆ Be concise, concrete, and specific. Use bullet format whenever possible.
- ◆ Provide information only for the period since submission of the 2012 Local Provider Network Development Plan (LPND Plan).
- ◆ When completing a table, insert additional rows as needed.

NOTE:

- 1) This process applies only to services funded through DSHS; it does not apply to services funded through Medicaid Managed Care. Throughout the document, data is requested only for the non-Medicaid population.
- 2) The rules governing Local Planning have been revised. Please review the new rules before completing the template. Key changes include:
 - 1) The requirements for network development pertain only to provider organizations and complete levels of care or specialty services. Routine or discrete outpatient services and services provided by individual practitioners are governed by local needs and priorities and are not included in the assessment of provider availability or plans for procurement.
 - 2) The public comment period on the draft plan must be at least 30 days.
 - 3) The requirement to post procurement documents for public comment has been eliminated.
 - 4) A post-procurement report must be submitted to the department within 30 days of completing a procurement described in the LMHAs approved plan.
 - 5) LMHAs must establish an appeals process for providers.

PART I: Required for all LMHAs

Local Service Area

1) Provide the following information about your local service area. Most of the data for this section can be accessed from the following reports in MBOW, using data from the following report: 2014 LMHA Area and Population Stats (in the General Warehouse folder).

Population	177,503	Number of counties (total)	5
Square miles	4,594	♦ Number of urban counties	
Population density	38.6	♦ Number of rural counties	5

Major populations centers (add additional rows as needed):

Name of City	Name of County	City Population	County Population	County Population Density	County Percent of Total Population
Abilene	Taylor	117,063	131,506	143	74%

Current Services and Contracts

- 2) Complete the table below to provide an overview of current services and contracts. Insert additional rows as needed within each section.
- 3) List the service capacity based on FY 2015 data.
 - a) For Levels of Care, list the non-Medicaid average monthly served. (Note: This information can be found in MBOW, using data from the following report in the General Warehouse folder: LOC-A by Center (Non-Medicaid Only and All Clients).
 - b) For residential programs, list the total number of beds and total discharges (all clients).
 - c) For other services, identify the unit of service (all clients).
 - d) Estimate the FY 2016 service capacity. If no change is anticipated, enter the same information as Column A.
 - e) State the total percent of each service contracted out to external providers in 2015. In the sections for Complete Levels of Care, do not include contracts for discrete services within those levels of care when calculating percentages.

	FY 2015 service capacity (non-Medicaid only)	Estimated FY 2016 service capacity (non-Medicaid only)	Percent total non-Medicaid capacity provided by external providers in FY 2015*
Adult Services: Complete Levels of Care			
Adult LOC 1m	0	0	0
Adult LOC 1s	712	712	0
Adult LOC 2	53	53	0
Adult LOC 3	46	46	0
Adult LOC 4	20	20	0
Adult LOC 5	53	53	0

	FY 2015 service capacity (non-Medicaid only)	Estimated FY 2016 service capacity (non-Medicaid only)	Percent total non-Medicaid capacity provided by external providers in FY 2015*
Child and Youth Services: Complete Levels of Care			
Children's LOC 1	5	5	0
Children's LOC 2	30	30	0
Children's LOC 3	18	18	0

Children's LOC 4	3	3	0
Children's CYC	1	1	0
Children's LOC 5	0	0	0

Crisis Services	FY 2015 service capacity	Estimated FY 2016 service capacity	Percent total capacity provided by external providers in FY 2015*
Crisis Hotline	3715	3750	100%
Mobile Crisis Outreach Team	3408	3500	0
Other (Please list all PESC Projects and other Crisis Services):			
PESC hospital services	5 beds/261 served	5 beds/285 served	100%
Respite	12 beds/473 served	12 beds/473 served	100%

- 4) List **all** of your FY 2015 Contracts in the tables below. Include contracts with provider organizations and individual practitioners for discrete services. If you have a lengthy list, you may submit it as an attachment using the same format.
- In the Provider column, list the name of the provider organization or individual practitioner. The LMHA must have written consent to include the name of an individual peer support provider. For peer providers that do not wish to have their names listed, state the number of individuals (e.g., "3 Individuals").
 - List the services provided by each contractor, including full levels of care, discrete services (such as CBT, physician services, or family partner services), crisis and other specialty services, and support services (such as pharmacy benefits management, laboratory, etc.).

Provider Organizations	Service(s)
Abilene Behavioral Health	Inpatient Psychiatric Care (PESC)
Avail Solutions	Hotline
Labcorp	Laboratory Services
TWG Investments	Respite

US Script	Pharmacy Benefits Manager/Medication
Mental Health Association	Consumer Operated Services
ETBHN	Utilization Management Services
Oceans Hospital	Inpatient Psychiatric Care (PESC)
Shannon Behavioral Health	Inpatient Psychiatric Care (PESC)
Red River Hospital	Inpatient Psychiatric Care (PESC)
FasPsych	Telemedicine/Psychiatry Services
Life Renovations	Counseling (Veterans Program)

Individual Practitioners	Service(s)
Dr. Patrick Young	Psychiatry Services

Provider Availability

NOTE: The LPND process is specific to provider organizations interested in providing full levels of care to the non-Medicaid population or specialty services. It is not necessary to assess the availability of individual practitioners. Procurement for the services of individual practitioners is governed by local needs and priorities.

- 5) Using bullet format, list steps the LMHA took to identify potential external providers for this planning cycle.
 - ◆ There were no formal RFPs in FY 15 for the above noted crisis services, which are currently contracted out.

- ◆ We gathered competitive quotes from several inpatient hospital facilities to procure inpatient hospital treatment.
- ◆ We also collected quotes from numerous telemedicine providers to seek psychiatric service providers with capacity and availability.
- ◆ In early FY16, we issued an RFI for YES Waiver services, both comprehensive providers and discrete service providers, and received zero responses.

6) Complete the following table, inserting additional rows as needed.

- ◆ List each potential provider identified during the process described in Item 5 of this section. Include all current contractors, provider organizations that registered on the DSHS website, and provider organizations that have submitted written inquiries since submission of 2012 LPND plan. You will receive notification from DSHS if a provider expresses interest in contracting with you via the DSHS website. Provider inquiry forms will be accepted through the DSHS website through December 31, 2015. **Note:** Do not finalize your provider availability assessment or post the LPND plan for public comment before January 6, 2016.
- ◆ Note the source used to identify the provider (e.g., current contract, DSHS website, LMHA website, e-mail, written inquiry).
- ◆ Summarize the content of the follow-up contact described in Appendix A. If the provider did not respond to your invitation within 14 days, document your actions and the provider’s response. In the final column, note the conclusion regarding the provider’s availability. For those deemed to be potential providers, include the type of services the provider can provide and the provider’s service capacity.

Provider	Source of Identification	Summary of Follow-up Meeting or Teleconference	Assessment of Provider Availability, Services, and Capacity
The Wood Group	DSHS Website	Is a current provider for the Center. Provider was previously identified as interested on the DSHS LPND website, but is not any longer. Since April 2010 we have periodically had verbal discussions about both discrete services and total TRR packages and the provider was not interested. The provider indicates that the capacity is not adequate to make a business case to pursue.	Provider continues to provide respite services, but has indicated they do not have interest in TRR LOCs for our area due to low volume of business
Avail	Current Provider	Is a current provider for the Center for Hotline and Intake phone screening services. Provider confirmed that they have no interest in TRR services and only has	Provider continues to provide hotline services but has indicated that they do not have interest in TRR

		interest in those duties that may be performed by the phone such as hotline and some expanded phone screening services.	LOCs at this time.

Part II: Required for LMHAs with potential for network development

Procurement Plans

If the assessment of provider availability indicates potential for network development, the LMHA must initiate procurement. 25 TAC §412.754 describes the conditions under which an LMHA may continue to provide services when there are available and appropriate external providers. Include plans to procure complete levels of care or specialty services from provider organizations. Do not include procurement for individual practitioners to provide discrete services.

- 7) *Complete the following table, inserting additional rows as need.*
- ◆ *Identify the service(s) to be procured. Make a separate entry for each service or combination of services that will be procured as a separate contracting unit. Specify Adult or Child if applicable.*
 - ◆ *State the capacity to be procured, and the percent of total capacity for that service.*
 - ◆ *Identify the geographic area for which the service will be procured: all counties or name selected counties.*
 - ◆ *State the method of procurement—open enrollment (RFA) or request for proposal.*
 - ◆ *Document the planned begin and end dates for the procurement, and the planned contract start date.*

Service or Combination of Services to be Procured	Capacity to be Procured	Method (RFA or RFP)	Geographic Area(s) in Which Service(s) will be Procured	Posting Start Date	Posting End Date	Contract Start Date
N/A						

Rationale for Limitations

NOTE: Network development includes the addition of new provider organizations, services, or capacity to an LMHA's external provider network.

- 8) Complete the following table. Please review 25 TAC §412.755 carefully to be sure the rationale addresses the requirements specified in the rule (See Appendix B).
- ◆ Based on the LMHA's assessment of provider availability, respond to each of the following questions.
 - ◆ If the response to any question is Yes, provide a clear rationale for the restriction based on one of the conditions described in 25 TAC §412.755.
 - ◆ If the restriction applies to multiple procurements, the rationale must address each of the restricted procurements or state that it is applicable to all of the restricted procurements.
 - ◆ The rationale must provide a basis for the proposed level of restriction, including the volume of services to be provided by the LMHA.

	Yes	No	Rationale
1) Are there any services with potential for network development that are not scheduled for procurement?		X	No – there are not presently any identified providers with interest.
2) Are any limitations being placed on percentage of total capacity or volume of services external providers will be able to provide for any service?		X	N/A
3) Are any of the procurements limited to certain counties within the local service area?		X	N/A
4) Is there a limitation on the number of providers that will be accepted for any of the procurements?		X	N/A

9) *If the LMHA will not be procuring all available capacity offered by external contractors for one or more services, identify the planned transition period and the year in which the LMHA anticipates procuring the full external provider capacity currently available (not to exceed the LMHA's capacity).*

Service	Transition Period	Year of Full Procurement
N/A		

Capacity Development

10) *Using bullet format, describe the strategies the LMHA will use to minimize overhead and administrative costs and achieve purchasing and other administrative efficiencies.*

- ◆ Patient Assistance Programs and Sample medications generate cost savings for medication that is significant. Our ability to obtain free medication directly impacts our budget in that money saved can be directed toward services.
- ◆ The Center utilizes Web Based Training programs which enables us to reduce some onsite classroom time for ongoing training.
- ◆ The Center continues to increase utilization of electronic medical records, thereby reducing printing costs (ink, paper, time) and time spent by staff locating and reviewing the large paper chart. Additionally, fiscal and quality management audits are more rapidly completed using the on line record.
- ◆ The Center applied for EHR Incentive funds and has received those for meaningful use of electronic records.
- ◆ The Center purchases UM authorization services from East Texas Behavioral Health Network, reducing costly administrative positions for small numbers of UM duties.
- ◆ The Center continues to use telemedicine services for our community jail sites and routinely explores ways to serve rural community sites using this technology.

11) List partnerships with other LMHAs related to planning, administration, purchasing and procurement or other authority functions, or service delivery. Include only current, ongoing partnerships.

Start Date	Partner(s)	Functions
June 2014	WBHN – Central Plains, MHMR Services for the Concho Valley, West Texas Centers, Center for Life Resources, Permian Basin and Betty Hardwick Center	Currently employ a Senior Director of Managed Care to facilitate contracts for services in our managed care service area.
2012	Regional Health Partnership 11	The Center is working with other LMHAs in the RHP 11 area for planning DSRIP projects for the 1115 Medicaid Transformation Waiver.
2011	East Texas Behavioral Health Network	The Center purchases via interlocal agreement, UM services for RDM services.

12) In the table below, document your procurement activity since the submission of your 2012 LPND Plan. Include procurements implemented as part of the LPND plan and any other procurements for complete levels of care and specialty services that have been conducted.

- ◆ List each service separately, including the percent of capacity offered and the geographic area in which the service was procured.
- ◆ State the results, including the number of providers obtained and the percent of service capacity contracted as a result of the procurement. If no providers were obtained as a result of procurement efforts, state “none.”

Year	Procurement (Service, Percent of Capacity, Geographic Area)	Results (Providers and Capacity)
2012	Hotline, 100% capacity, all counties	One responder – awarded to Avail – 100% capacity
2012	Respite, 100% capacity, all counties served, located in Abilene	One responder – awarded to Wood Group – 100%

		capacity
2012	Pharmacy Services, 100% capacity, all counties served	Multiple responders – awarded to US Script – 100% capacity
2012-2015	Inpatient Psychiatry Services, 100% PESC capacity, informal quotes to meet capacity needs	Multiple responders awarded contracts – 100% capacity
2012-2015	Psychiatry agency services, telemedicine, approximately 50% capacity, all counties	Multiple responders awarded – approximately 65% capacity
2015	YES Waiver Services, 100% capacity, all counties served	No responders to RFI

PART III: Required for all LMHAs

PNAC Involvement

13) Show the involvement of the Planning and Network Advisory Committee (PNAC) in the table below. PNAC activities should include input into the development of the plan and review of the draft plan. Briefly document the activity and the committee's recommendations.

Date	PNAC Activity and Recommendations
1/13/16	Review of draft
1/13/16	Recommendations: Three members responded. Two members had nothing to add but appreciated the opportunity to give feedback. One member provided the following: This report of what has been accomplished seems to me as I understand the states requirements of a LMHA to be right on track and more. I am proud/pleased of the work that our local LMHA does for those with a mental illness. While I know the scope of responsibility is more than mental illness that is my main area of advocacy. That likely causes me to appreciate that as they have met all requirements, they still are continuing to work toward things over and above what might be required by the state.

Stakeholder Comments on Draft Plan and LMHA Response

Allow at least 30 days for public comment on draft plan. Do not post plans for public comment before January 6, 2016.

In the following table, summarize the public comments received on the draft plan. If no comments were received, state “None.” Use a separate line for each major point identified during the public comment period, and identify the stakeholder group(s) offering the comment. Describe the LMHA’s response, which might include:

- ◆ Accepting the comment in full and making corresponding modifications to the plan;
- ◆ Accepting the comment in part and making corresponding modifications to the plan; or
- ◆ Rejecting the comment. Please explain the LMHA’s rationale for rejecting the comment.

Comment	Stakeholder Group(s)	LMHA Response and Rationale
NA	NA	NA

COMPLETE AND SUBMIT ENTIRE PLAN TO performance.contracts@dshs.state.tx.us by March 1, 2016.

Appendix A

Assessing Provider Availability

Provider organizations can indicate interest in contracting with an LMHA through the DSHS website or by contacting the LMHA directly. On the DSHS website, a provider organization can submit a Provider Inquiry Form that includes key information about the provider. DSHS will notify both the provider and the LMHA when the Provider Inquiry Form is posted.

During its assessment of provider availability, it is the responsibility of the LMHA to contact potential providers to schedule a time for further discussion. This discussion provides both the LMHA and the provider an opportunity to share information so that both parties can make a more informed decision about potential procurements.

The LMHA must work with the provider to find a mutually convenient time. If the provider does not respond to the invitation or is not able to accommodate a teleconference or a site visit within 14 days of the LMHA's initial contact, the LMHA may conclude that the provider is not interested in contracting with the LMHA.

If the LMHA does not contact the provider, the LMHA must assume the provider is interested in contracting with the LMHA.

An LMHA may not eliminate the provider from consideration during the planning process without evidence that the provider is no longer interested or is clearly not qualified or capable of provider services in accordance with applicable state and local laws and regulations.

Appendix B

25 TAC §412.755. Conditions Permitting LMHA Service Delivery.

An LMHA may only provide services if one or more of the following conditions is present.

- (1) The LMHA determines that interested, qualified providers are not available to provide services in the LMHA's service area or that no providers meet procurement specifications.
- (2) The network of external providers does not provide the minimum level of individual choice. A minimal level of individual choice is present if individuals and their legally authorized representatives can choose from two or more qualified providers.
- (3) The network of external providers does not provide individuals with access to services that is equal to or better than the level of access in the local network, including services provided by the LMHA, as of a date determined by the department. An LMHA relying on this condition must submit the information necessary for the department to verify the level of access.
- (4) The combined volume of services delivered by external providers is not sufficient to meet 100 percent of the LMHA's service capacity for each level of care identified in the LMHA's plan.
- (5) Existing agreements restrict the LMHA's ability to contract with external providers for specific services during the two-year period covered by the LMHA's plan. If the LMHA relies on this condition, the department shall require the LMHA to submit copies of relevant agreements.
- (6) The LMHA documents that it is necessary for the LMHA to provide specified services during the two-year period covered by the LMHA's plan to preserve critical infrastructure needed to ensure continuous provision of services. An LMHA relying on this condition must:
 - (A) document that it has evaluated a range of other measures to ensure continuous delivery of services, including but not limited to those identified by the LANAC and the department at the beginning of each planning cycle;
 - (B) document implementation of appropriate other measures;

(C) identify a timeframe for transitioning to an external provider network, during which the LMHA shall procure an increasing proportion of the service capacity from external provider in successive procurement cycles; and

(D) give up its role as a service provider at the end of the transition period if the network has multiple external providers and the LMHA determines that external providers are willing and able to provide sufficient added service volume within a reasonable period of time to compensate for service volume lost should any one of the external provider contracts be terminated.